

- The following email has been sent to the Forum Coordinator proposing two additional members on the Forum. A copy of preceding correspondence has been provided as an attachment to put this email into context. This proposal is provided for discussion and agreement on forward action at the Zambia Forum meeting.
-

From: Ted Downing [mailto: [@cox.](mailto:ted@cox.net)]
Sent: 27 August 2008 01:10
To: Helen Locher
Cc: Michael Fink; Michael Simon; Thayer Scudder
Subject: RE: Symposium on Hydro Sustainability in China, Oct 28-29; IHA organizes session

Dear Helen,

You may be wondering what is behind Ted Downing's recent queries about the Forum. I must take the blame for not doing my homework after David Harrison telephoned me as to my interest of becoming involved in the Forum's work. During our talk I did tell David I that I could not confirm being able to attend the Yichang IHA workshop. Because I realized shortly thereafter that I could not attend because of my wife's deteriorating health, I did not read thoroughly enough, before emailing you that I could not attend, the forum materials that you sent me.

When I contacted Ted as to his interest and availability of taking my place, I had assumed, and I was wrong, that he would be actively involved in both Day 2 and Day 3 Forum activities.

So it was a surprise to me when he told me of his concern and of mine also, that Day 3 would only involve a closed door meeting of Forum members none of whom have had any resettlement research, planning or implementation experience. That situation created an unacceptable situation for the two of us. Let me try to explain why.

Professor Asit Biswas, a strong friend of IHA, ICOLD and ICID, considers dam involuntary resettlement as the least satisfactory outcome of large dam projects. That is also the view of Robert Goodland, retired World Bank chief environment adviser, as well as those of us who had had the most experience with research, planning and/or implementing large dam resettlement – a group of people that includes Michael Cernea, the World Bank's former chief social adviser. For that reason, it is critically important to have resettlement expertise on the Forum.

We are concerned that whatever outcome results during Day 3 and subsequent deliberations will be subject to criticism. The resulting IHA protocol must not only be embraced by governments, financiers and industry. It must be recognized as a protocol to protect the millions of people whose lives and livelihoods will be uprooted by hydropower projects and who must benefit from these projects.

We are equally concerned about the closed door nature of the Protocol prepared and feel that civil and professional societies should be permitted to observe and participate in the deliberations. We feel that IHA should not close its deliberations on involuntary resettlement. While proprietary discussions may be appropriate in some dimensions of hydropower development, when the future lives of innocent people who are in the way of development is being deliberated, the Forum should be open and transparent or risk criticism of possible human rights violations. The International Financial Intermediaries conducted public hearings on their involuntary resettlement guidelines, while not perfect, the policies benefited accordingly. A closed door drafting and forum exposes those who attend to claims from their colleagues that they participated in the development of the IHA protocol.

Time and again dam resettlement has been a cause of a new poverty among a majority of those resettled created as a consequence of forced displacement. The only statistical analysis we have of resettlement outcomes shows that this continues to be the case with recently completed hydro dams. Even in regard to Laos' NT2 project (which is to be the World Bank's social and environment model for hydro projects under its new water resource policy), the initial resettlement budget was inadequate as was resettlement implementation as recently as January 2008 – three months before reservoir inundation was supposed to start. The situation was rectified at the last moment only because a crash program was initiated to meet the resettlement requirements of the Concession Agreement between the government and the Nam Theun Power Company.

We fear that without proper attention, the future will repeat the past wherein the poorest of the poor – those involuntarily displaced - lose more than they gain and end up subsidizing hydropower. All efforts must be made in the Protocol to make certain this unacceptable outcome does not happen.

Since neither of your two, professionally outstanding social forum members have resettlement expertise, Ted and I recommend is that you appoint two such people to the Forum at this time, one of whom might be Ted Downing, President of the only international network of involuntary resettlement specialists.

We suggest our concerns be shared with the forum members.

With best wishes,

Ted Scudder

with collaboration and approval of Ted Downing

ATTACHMENT TO HSAF MEETING 3 PAPER 5c – PRIOR CORRESPONDENCE

From: Helen Locher
Sent: 20 August 2008 21:12
To: Prof Ted Downing
Cc: Michael Simon; Thayer Scudder
Subject: RE Hydropower Sustainability Assessment Forum – China Meeting – INVITATION LETTERS FOR VISAS

Dear Ted,

The Protocol is an existing document, so our focus is on areas for improvement. The members of the Forum are:

- **Developing Countries**
 - Dr Yu Xuezhong, Institute of Water Resources and Hydropower Research, PR China
 - Mr Zhou Shichun, Hydropower and Water Resources Planning and Design General Institute, PR China
 - Mr Israel Phiri, Manager PPI, Ministry of Energy and Water Development, Zambia
- **Developed Countries**
 - Mr Hans Olav Ibrenk, Project Manger Environment, Norad, Norway
 - Prof Gudni A Johannesson, Director General, National Energy Authority, Iceland
 - Ms Kirsten Nyman, Policy Advisor for Sustainable Hydropower, GTZ, Germany (observer)
- **Environmental Aspects**
 - Mr David Harrison, Senior Advisor, Global Freshwater Team, The Nature Conservancy
 - Dr Joerg Hartmann, Lead, Dams Initiative, World Wildlife Fund
- **Social Aspects**
 - Mr Michael Simon, Lead, Development Banks/NRM, Oxfam
 - Dr Donal O’Leary, Water Sector Specialist, Transparency International
- **Economic (Financing) Aspects**
 - Ms Courtney Lowrance, Environmental Specialist, Equator Principles Financial Institutions Group
 - Ms Daryl Fields, Senior Water Resources Specialist, World Bank (observer)
- **Hydro Sector**
 - Dr Refaat Abdel-Malek, President, International Hydropower Association (IHA)
 - Mr Andrew Scanlon, Business Sustainability Manager, Hydro Tasmania

The Forum is chaired by Andre Abadie who is with Sustainable Finance Ltd and is one of the authors of the Equator Principles.

No one on the Forum is institutionally or professionally focused on those who are involuntarily displaced. We will try to get those views as we progress through the Forum work program.

Kind regards,

Helen

Dr Helen Locher

Sustainability Forum Coordinator, International Hydropower Association
IHA Central Office, 9 Sutton Court Rd, London Borough of Sutton, SM1 4SZ, UK
Tel: +44 208 652 5293, Mob: +44 7525 804 934, Fax: +44 208 643 5600

From: prof. ted downing [mailto:downing@u.arizona.edu]
Sent: 19 August 2008 06:09
To: Helen Locher
Cc: Michael Simon; Thayer Scudder
Subject: Re: Hydropower Sustainability Assessment Forum - China Meeting - INVITATION LETTERS FOR VISAS

Helen,

Who are the members of the closed Forum where the protocol will be drafted?
Specifically, who will be institutionally or professional focused on those who are involuntarily displaced?

Thank you,

Theodore Downing
President, The International Network on Displacement and Resettlement
displacement.net
[.ted-downing.](mailto:ted-downing@displacement.net)

On Aug 18, 2008, at 2:19 AM, Helen Locher wrote:

Dear Ted,

The model we have set up for our meetings is that Day 3 will be a closed session to Forum members only, that is, no invited meeting participants would be included. We aim to get the views of invited meeting participants through their participation in Days 1 and 2, both in raising Forum member awareness of the key issues we need to address, and on how we might improve Protocol content.

Best regards,

Helen

Dr Helen Locher

Sustainability Forum Coordinator, International Hydropower Association
IHA Central Office, 9 Sutton Court Rd, London Borough of Sutton, SM1 4SZ, UK
Tel: +44 208 652 5293, Mob: +44 7525 804 934, Fax: +44 208 643 5600

HSAF Meeting 3 - Zambia
Proposal for Additional Forum Members

Mtg3 Paper 5c

From: Prof. Ted Downing [[:downing@u.arizona.](mailto:downing@u.arizona)]
Sent: 16 August 2008 05:44
To: Helen Locher
Cc: 'Michael Simon'
Subject: RE: Hydropower Sustainability Assessment Forum - China Meeting - INVITATION LETTERS FOR VISAS

Helen and Michael,

Will I be participating in the Day? Thayer Scudder, my collaborator on involuntary resettlement and members the INDR are focused on the Protocol development.

Ted

ted downing
research professor of social development
arizona research laboratories
1237 n mountain ave
tucson, arizona 85721-0471 USA
[@u.arizona.](mailto:downing@u.arizona)
1 520 323 8766
[.ted-downing.](mailto:ted-downing)

From: Helen Locher [[:hl@hydropower.](mailto:hl@hydropower)]
Sent: Friday, August 15, 2008 1:08 AM
To: [@cox.](mailto:cox); [@worldbank.](mailto:worldbank); Larry Haas (UK)
Cc: Michael Simon
Subject: Hydropower Sustainability Assessment Forum - China Meeting - INVITATION LETTERS FOR VISAS

Dear Larry, Ted and Maninder,

The China Three Gorges Power Corporation (CTGPC) is hosting us for the Forum meeting in China. They will arrange letters of invitation for you which you can provide with your application for a Chinese visa. Can you please provide me with the name, job title, organization and address that they can put on the letter of invitation?

Many thanks,

Helen

Dr Helen Locher

Sustainability Forum Coordinator, International Hydropower Association
IHA Central Office, 9 Sutton Court Rd, London Borough of Sutton, SM1 4SZ, UK
Tel: +44 208 652 5293, Mob: +44 7525 804 934, Fax: +44 208 643 5600

HSAF Meeting 3 - Zambia Proposal for Additional Forum Members

Mtg3 Paper 5c

From: Helen Locher
Sent: 15 August 2008 08:54
To: '[downing@cox.](mailto:downing@cox.net)
Cc: 'Michael Simon'; 'Thayer Scudder'; '[Mgill@worldbank.](mailto:Mgill@worldbank.org)'; 'Larry Haas (UK)'
Subject: Hydropower Sustainability Assessment Forum - China Meeting

Dear Ted,

I am very pleased to hear that you are able to participate in the Hydropower Sustainability Assessment Forum meeting in China! I understand that you have had some discussions on this with Michael Simon and Thayer Scudder, and will continue to liaise with them on content of the session you will participate in.

My role is Forum Coordinator, and I want to give you an overview of the Forum and let you know what we hope to do in China. The Forum aims to establish a broadly endorsed measurement tool for assessing sustainability of hydropower projects, based on the International Hydropower Association's (IHA) Sustainability Assessment Protocol (attached). Our work plan reviews the existing Protocol content in sections. Sections A and B of the Protocol relate to assessment of new hydro projects. Section A is at the early pre-project stage and B at the project planning and construction stage. Section C relates to existing projects. Each section has twenty aspects relating to environmental, social and economic/technical/governance considerations.

The Forum's workplan revolves around eight meetings:

- Meeting 1 (Washington, DC) – Forum launch
- Meeting 2 (Santa Rosa) – Sections A and B of the Protocol, focused on the economic, technical and governance issues
- Meeting 3 (Zambia) – Sections A and B of the Protocol, focused on the environmental issues
- Meeting 4 (China) – Sections A and B of the Protocol, focused on the social issues
- Meeting 5 (Itaipu) – Section C of the Protocol, focused on environmental issues
- Meeting 6 (to be determined) – Section C of the Protocol, focused on social issues
- Meetings 7 (Iceland) and 8 (to be determined) – aimed at reaching agreement on revised Protocol and discussing pathways forward

As you can see, our China meeting will be Forum meeting number 4, focused on social issues with new hydro developments. This will be the to of October 2008 at Yichang where the Three Gorges power station is located. It is possible to fly in and out of Yichang. Immediately following Forum meeting will be an International Symposium on Three Gorges Project and Water Resources Development and Protection of the Yangtze River, if you are interested in staying on.

Within the 3 day Forum meeting, the broad structure is:

- Day 1 – administrative issues, followed by expert presentations and discussions on key issues relating to the area of focus for that meeting;
- Day 2 - a “desk-top” assessment of a project using the Sustainability Assessment Protocol; and
- Day 3 – workshop type session reviewing and reaching agreements on the Protocol content relevant to the area of focus for that meeting (this would be a closed session for Forum members only).

The Day 1 in which you would participate is:

8.30-10.00	Administrative matters (Forum members only)
10.00-10.30	Break
10.30-12.00	Addressing social issues for hydropower developments in China Presentations – Professor Guoqing Shi, National Research Centre for Resettlement, Hohai University An additional China expert to be determined
12.00-13.00	Lunch
13.00-15.00	International experience with social issues and hydropower projects Presentations – Maninder Gill, Ted Downing, Larry Haas
15.00-15.30	Break
15.30-17.00	Community and Stakeholder Engagement with Hydropower Projects and its relevance to the Protocol Facilitated discussion with experts present on Day 1

You are most welcome to also participate in Day 2. Day 3 will be a closed session for Forum members only.

Michael Simon will follow up with you to discuss the content of the Day 1 presentations. I've attached a brief outline of administrative arrangements for invited meeting participants. Please feel free to discuss any aspect of this with me.

Kind regards,

Helen

Dr Helen Locher

Sustainability Forum Coordinator, International Hydropower Association
IHA Central Office, Nine Sutton Court Road, London Borough of Sutton, SM1 4SZ, UK
Tel: +44 208 652 5290 (General), Tel: +44 208 652 5293 (Direct), Fax: +44 208 643 5600