

## Forum Budget Overview

**Funding, Budget and Fund-Raising Needs****Revenue**

Project revenue data have been updated to reflect the status as of 9 June 2009 (Figure 1). Two notable developments have taken place since March 2009:

- Second tranche of Icelandic contribution received as £45k, with a more favourable exchange rate than anticipated
- Pledge from the World Bank to contribute £12k (USD 20k).

Project revenue to date totals £466k. The Forum so far has received funding pledges and funding by the governments of Norway, Germany and Iceland, and by The Nature Conservancy, the International Hydropower Association, WWF International and the World Bank. In addition to cash contributions, Forum members and their networks have contributed significant in-kind support estimated at close to £1m.

	<b>Funds received</b>	<b>Funds receivable</b>	<b>Total pledged</b>
Norway	238	0	238
Germany	66	25	91
Iceland	79	0	79
TNC	36	0	36
IHA	25	0	25
WWF	22	0	22
World Bank	0	12	12
<b>Total</b>	<b>466</b>	<b>37</b>	<b>503</b>

*Figure 1: Forum Revenue Summary (x1000 GBP) as of 9 June 2009*

In the period between March and June 2009, intensive fundraising activities were undertaken following up on previous contacts as well as new leads. With the exemption of the World Bank pledge, no additional funding commitments were achieved in this period.

Fundraising currently is being pursued with the governments of France (Afd / MEEDAT), the United Kingdom (DFID), Switzerland (DEZA), Australia (AusAID), Austria (ADA) and the Netherlands (Ministry of Environment). IHA has asked its members for additional cash and in-kind contributions.

**Expenditure**

Forum expenditure so far is fully in line with the budget developed for the Forum. Expenditure at the end of the financial year (31 March 2009) was £286k, £25k less than budgeted (see second column in Figure 2).

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#### **Budgeting situation**

Funding pledges received to date are insufficient to implement the planned work programme of the Forum. A funding shortfall of £200,000 has been recognized from the onset of the Forum.

Figure 2 shows three budgeting scenarios for the Forum. These scenarios reflect the current situation, with the exception of the recent World Bank pledge of £12k.

In the absence of obtaining additional funding (Scenario A in Figure 2), the further work plan for the Forum is as follows:

- Provision has been made for Forum Meeting 8 in December 2009. Very limited funding in the order of £45k would be available for consultation and trialling of the Draft Protocol by using the World Bank pledge (£12k), the amount allocated to the NGO reference group (£20k), and direct funding of trialling / consultation through GTZ (£12k)
- There would be no funding to contract consultants to assist with Consultation Phase 2 or Trialling, and so these would be heavily reliant on in-kind support or funding granted for very specific activities, and the Forum Coordinator providing the coordination role and providing the outcome reports.
- Consultation would be by largely electronic means such as in Consultation Phase 1, with isolated regional activity funded by the remaining budget hopefully supplemented by local contributions.
- Trialling would be largely by self-trials with triallers reporting back to the Forum Coordinator using a standard template. Project owners who have expressed an interest in participating in the Draft Protocol trialling have been advised that they should count on paying 2 international airfares for Forum members to participate.
- Forum members would have to fund themselves to attend consultation events.
- Translation of the Draft Protocol would be entirely dependent on in-kind contributions of this service.
- The Forum Coordinator would summarise the outcomes of consultation and trialling and provide them to a final meeting of the Forum in early December 2009.

Scenario B shows the Forum budget as it has been planned from the onset of the project. This allows for completion of the work programme as originally planned, with limited funds available for consultation, trialling and training.

Following extensive dialogue and stakeholder feedback in the first consultation phase, additional consultation and trialling needs have been identified. Proposals to meet these needs include regional consultation meetings, promotion of field testing, and eight comprehensive trial assessments. The optimal programs for these, shown by Scenario C, would enable a consultation program involving considerable face-to-face with a diversity of stakeholders in target regions, and a comprehensive and credible protocol trialling program.

## Forum Budget Overview

Forum Budget Overview	Sep '2007 - Mar 2008	Apr '2008 - Mar 2009	Apr '2009 - Mar 2010	Apr '2009 - Mar 2010	Apr '2009 - Mar 2010
<i>currency in GBP</i>			SCENARIO A	SCENARIO B	SCENARIO C
<b>Income</b>					
<b>Project Funding Income</b>					
Government funding	0.00	337,898.93	70,488.00	70,488.00	70,488.00
IHA funding	0.00	25,000.00	0.00	0.00	0.00
NGO funding	0.00	35,714.29	22,250.00	22,250.00	22,250.00
Additional funding	0.00	0.00	0.00	200,000.00	400,000.00
Carry over from Apr '07 - Mar '08 financial yr	0.00	(24,804.00)	87,435.67	87,435.67	87,435.67
<b>Total · Project Funding Income</b>	<b>0.00</b>	<b>373,809.22</b>	<b>180,173.67</b>	<b>380,173.67</b>	<b>580,173.67</b>
<b>Total Income</b>	<b>0.00</b>	<b>373,809.22</b>	<b>180,173.67</b>	<b>380,173.67</b>	<b>580,173.67</b>
<b>Expense</b>					
<b>Staff Costs</b>					
Project & IHA staff salaries, travel & subsistence	12,000.00	132,870.40	81,200.00	149,200.00	149,200.00
<b>Total · Staff Costs</b>	<b>12,000.00</b>	<b>132,870.40</b>	<b>81,200.00</b>	<b>149,200.00</b>	<b>149,200.00</b>
<b>Administrative Expenses</b>					
IHA office administration expenses recharged	3,000.00	17,523.04	9,000.00	13,000.00	13,000.00
<b>Total · Administrative Expenses</b>	<b>3,000.00</b>	<b>17,523.04</b>	<b>9,000.00</b>	<b>13,000.00</b>	<b>13,000.00</b>
<b>Other Project Costs</b>					
Site visit and tour costs	0.00	0.00	0.00	0.00	0.00
Venue hire and catering	0.00	16,277.16	5,000.00	11,000.00	11,000.00
Participant support costs	9,804.00	44,270.61	16,500.00	27,000.00	27,000.00
Reference group support costs	0.00	0.00	20,000.00	0.00	0.00
Project reports	0.00	0.00	10,000.00	20,000.00	20,000.00
Honoraria (Forum chair)	0.00	38,916.17	24,000.00	32,000.00	32,000.00
<b>Other Project Costs</b>	<b>9,804.00</b>	<b>99,463.94</b>	<b>75,500.00</b>	<b>90,000.00</b>	<b>90,000.00</b>
<b>Legal and Professional Expenses</b>					
Consultancy general support	0.00	7,900.00	10,000.00	10,000.00	20,000.00
Consultation	0.00	22,578.12	0.00	40,000.00	120,000.00
Trialling	0.00	0.00	0.00	40,000.00	150,000.00
Implementation options research	0.00	0.00	0.00	16,000.00	16,000.00
Capacity Development	0.00	0.00	0.00	16,000.00	16,000.00
Legal and professional fees recharged (e.g. accounting, auditing)	0.00	6,038.05	4,500.00	6,000.00	6,000.00
<b>Total · Legal and Professional Expenses</b>	<b>0.00</b>	<b>36,516.17</b>	<b>14,500.00</b>	<b>128,000.00</b>	<b>328,000.00</b>
<b>Total Expense</b>	<b>24,804.00</b>	<b>286,373.55</b>	<b>180,200.00</b>	<b>380,200.00</b>	<b>580,200.00</b>
<b>Net Expense for Financial Year</b>	<b>(24,804.00)</b>	<b>87,435.67</b>	<b>(26.33)</b>	<b>(26.33)</b>	<b>(26.33)</b>

**SCENARIO A: No Additional Funding.** 2 mtg June and Dec, very limited consultation/trialling; IHA staff close out project

**SCENARIO B: Additional £200k Funding.** 3 mtgs, some consultation and trialling, Coordinator employed to project end

**SCENARIO C: Additional £400k Funding.** Much greater emphasis on consultation and trialling. Allows for regional consultation meetings and 8 trials

Figure 2: Forum Budget Overview and Future Budget Scenarios (in GBP) as of 31 March 2009