

**A- AGREEMENT REACHED DURING THE CONF CALL OF OF SEPTEMBER BETWEEN EQUATOR BANKS REFERENCE GROUP**

**Proposal of EP banks**

The purpose of the scoring would be to assess the level of compliance with IFC standards. The low levels of non-compliance would allow identifying the critical gaps and the studies required within the Action Plan.

**Proposed scale**

Score	Performance- Assessment Results	Description
5	1 or 2 levels of compliance	Level of Compliance with IFC Standards
	Basic compliance	
0	1 or 2 levels of non-compliance	

**B- PROPOSED SCORING – FOR DISCUSSION**

SCORE	DESCRIPTION
5	Above guidelines compliance
4	Fully compliant with guidelines
3	Generally compliant with guidelines
2	Non critical gaps identified
1	Critical gaps identified
0	Critical gaps that cannot be mitigated due to time-schedule, nature or magnitude

Question:

This scoring does not include the concept of magnitude of impact. For example it would not make the difference between a dam that displaces 5 or 5 000 families once the Resettlement Action Plan meets IFC guidelines. Should this scoring include the magnitude of impact and if yes how?

<b>ASPECT : ENVIRONNEMENTAL IMPACT ASSESSMENT &amp; MANAGEMENT SYSTEM</b>						
<b>Description :</b> This aspect addresses the environmental assessment & management System developed for the planned hydropower development.						
<b>User's Guidelines :</b>						
<ul style="list-style-type: none"> <li>➤ The final score corresponds to the lowest score.</li> <li>➤ Each attribute is only present in one Section of the protocol in the most relevant Aspect.</li> <li>➤ Each Attribute should be read in conjunction with the guidance note page hereby.</li> </ul>						
<b>Scoring sytem</b>						
	<b>Scoring</b>					
<b>Attributes</b>	5	4	3	2	1	0
Management system						
Social and Environmental Assessment						
Management program						
Organizational capacity						
Training						
Community Engagement						
Monitoring						
<b>Final score</b>						
<b>User's Comments</b>	<b>Documents of evidence</b>	Certified Environmental Impact Assessment by third party Management System Consultations plans				

**GUIDANCE NOTE OF THE ENVIRONMENTAL IMPACT ASSESSMENT & MANAGEMENT SYSTEM****Management System** includes

- Environmental Assessment
- Management program
- Organizational capacity
- Training
- Community Engagement
- Monitoring
- Reporting re effectiveness of Management Program

**Social and Environmental Assessment**

- Comprehensiveness of risks and impacts of the project;
- compliant with national Standards,
- compliance with international laws and IFC PS1-8;
- analyzed in the context of the project's area of influence<sup>1</sup>;
- analyzed for the key stages of the project cycle, including preconstruction, construction, operations, and decommissioning or closure;
- Assessed risks and impacts associated with involvement of third parties, supply chains and potential transboundary effects;
- prepared by qualified and experienced persons.

**Management program**

- compliance with EIA
- Action Plan (detailing actions for mitigation measure or corrective actions, priorities, timing, disclosure, reporting)

**Organizational capacity**

- roles & responsibilities and authorities to implement the Management Program and Action Plan,
- communication flow to relevant personnel
- sufficient human and financial resources;

**Training on**

- Management Program and Action Plan
- with clear methodology on performing actions;

**Community Engagement**

- On-going throughout life of project or loan
- Disclosure
- Consultation (Free, Prior and Informed Consultation)
- Grievance Mechanism (culturally appropriate and readily accessible);

**Monitoring** adjusted according to performance experience and feedback and**Reporting re effectiveness of Management Program**

- Internally to senior management
- Externally by audit

<sup>1</sup> The primary project site(s) and related facilities, associated facilities, areas potentially impacted by cumulative impacts, areas potentially affected by impacts from unplanned but predictable developments caused by the project.